## FORM 4

obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, D | D.C. 20549 |
|---------------|------------|
|---------------|------------|

| Check this box if no longer subject to<br>Section 16. Form 4 or Form 5 | STATEMENT OF CHANGES IN BENEFICIAL | OWNERSHIP |
|--|------------------------------------|-----------|
| Occident 10. Form 4 of Form 5  |                                    |           |

| OMB APPRO              | DVAL      |
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  BASSI PETER A   |                       |    |            |                                      | 2. Issuer Name <b>and</b> Ticker or Trading Symbol  Yum China Holdings, Inc. [ YUMC ] |  |  |                     |  |   |  |   |                   | Check    | all app  | of Reporting Person(s) to Issuer icable)  |   |   |  |            |
|---|-----------------------|----|------------|--------------------------------------|---|--|--|---------------------|--|---|--|---|-------------------|----------|--|---|---|---|--|------------|
| (Last) (First) (Middle) 18 CHANNEL VISTA  |                       |    |            |                                      |   | 3. Date of Earliest Transaction (Month/Day/Year) 11/11/2016  |  |                     |  |   |  |   |                   |          | X  |   | rector<br>ficer (give title<br>low)                               |   | 10% Owner<br>Other (specify<br>below)                              |            |
| (Street) NEWPO  | WPORT CA 92657<br>AST |    |            |                                      | 4. If   | 4. If Amendment, Date of Original Filed (Month/Day/Year)   |  |                     |  |   |  |   |                   |          | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |   |   |   |  |            |
| (City) (State) (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  |                       |    |            |                                      |   |  |  |                     |  |   |  |   |                   |          |  |   |   |   |  |            |
| 1. Title of Security (Instr. 3)  2. Transport   |                       |    |            | saction 2<br>Day/Year) i             |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)  |  | Code                | 3. 4. Se<br>Transaction Disp<br>Code (Instr. 5)  |   | urities Acquired (A<br>sed Of (D) (Instr. 3, |   |                   | nd       | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported  |   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |            |
|   |                       |    |            |                                      |   |  |  |                     |  | v | Amount                                       | ()<br>(I)   | (A) or<br>(D) Pri |          | Trans  |   | action(s)<br>3 and 4)   |   |  | (111341.4) |
| Common  | Stock                 |    |            | 11/1                                 | 1/2016  | 6  |  |                     | A  |   | 4,169  | 9 A   |                   | \$(      | \$0 4  |   | 46,273(1)   |   | )  |            |
|   |                       | Та | ble II - D |                                      |   |  |  |                     |  |   | sed of,<br>onvertib                          |   |                   |          | y Ov   | ned   |   |   |  |            |
| 1. Title of Derivative Security (Instr. 3)  2. Conversion of Exercise (Month/Day/Year) Frice of Derivative Security  3. Transaction Date (Month/Day/Year) if any (Month/Day/Y |                       |    | Date,      | 4.<br>Transaction<br>Code (Instr. 8) |   | of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |  | Expiration (Month/E | 6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date |   |  | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)  Amoun or Numbe of Title Shares |                   | <u> </u> |  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4) | Own<br>For<br>Dire<br>or II<br>(I) (I                             | nership<br>m:<br>ect (D)<br>ndirect<br>nstr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |            |

## **Explanation of Responses:**

1. 42,104 shares of Common Stock were acquired by the Reporting Person pursuant to the spinoff of the Issuer from YUM! Brands, Inc. on October 31, 2016 in a transaction exempt from reporting pursuant to Rule 16a-9.

> /s/ Pingping Liu, Power of **Attorney**

11/15/2016

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.