FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL        |           |  |  |  |  |  |  |  |  |
|---------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:         | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average t | ourden    |  |  |  |  |  |  |  |  |

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Lu Ruby Rong           |  |  |   |         |           | 2. Issuer Name and Ticker or Trading Symbol Yum China Holdings, Inc. [ YUMC ] |         |               |   |             |   |   |               |        |   | all app  |   | 1   | 0% Ow                    | /ner   |
|--|--|--|---|---------|-----------|---|---------|---------------|---|-------------|---|---|---------------|--------|---|--|---|---|--------------------------|--|
| (Last) (First) (Middle) 2-2-142 JIAN GUO MEN DIPLOMATIC RESIDENT |  |  |   |         |           | 3. Date of Earliest Transaction (Month/Day/Year) 11/11/2016                   |         |               |   |             |   |   |               |        |   | Officer (give title below)                     |   |   | Other (specif<br>below)  |  |
| JIAN GUO MEN WAI, CHAO YANG DISTRICT                             |  |  |   |         | 4. If     | 4. If Amendment, Date of Original Filed (Month/Day/Year)                      |         |               |   |             |   |   |               |        | 6. Individual or Joint/Group Filing (Check Applicable Line) |  |   |   |                          |  |
| (Street) BEIJING (City)  |  |  | 00020<br>                                     |         |           |   |         |               |   |             |   |   |               |        | X   |  | n filed by Ond<br>n filed by Mo<br>on   |   |                          |  |
| (City)   | (30  |  | e I - Nor                                     | n-Deriv | ative     | Se  | curitie | s Ac          | quired,                                 | Disi        | posed o   | f, or   | Bene          | eficia | ally  | Owne   | ed  |   |                          |  |
| 1. Title of Security (Instr. 3)  2. Transa Date (Month/D         |  |  |   |         |           | Execution Da  |         | n Date,       | 3.<br>Transaction<br>Code (Instr.<br>8) |             | 4. Securities Acquired (A<br>Disposed Of (D) (Instr. 3,<br>5) |   |               |        | 4 and S   |  | ount of<br>ties<br>cially<br>I Following  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | ct o<br>ect B            | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |
|  |  |  |   |         |           |   |         |               |   | v           | Amount  |   | (A) or<br>(D) | Price  | •   | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |   |   |                          |  |
| Common Stock 11/11/  |  |  |   |         | 1/2016    | 5   |         |               | A                                       |             | 8,339   | 9   | A \$          |        | 8,3   |  | 3,339   | D   |                          |  |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |   |         |           |   |         |               |   |             |   |   |               |        |   |  |   |   |                          |  |
| Derivative Conversion Da   |  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deeme<br>Execution<br>if any<br>(Month/Da | Date,   | Code (Ins |   |         |               | 6. Date E:<br>Expiration<br>(Month/Di   | n Date      | •   | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |               | ount   |   |  | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | ship c<br>D) C<br>ect (i | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  | Cod  |  | Code  |         |           |   |         | Exercisable D |   | Title Share |   | res   |               |        |   |  |   |   |                          |  |

**Explanation of Responses:** 

/s/ Pingping Liu, Power of **Attorney** 

11/15/2016

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.